

## Order to Buy/Sell Units

Mr. Ms. (hereinafter the "Account Holder")

Social insurance number:

Last name:

First name:

Street/No.:

Postal code, city/town, country:

Date of birth (dd/mm/yyyy):

Civil status:

Nationality:

Telephone:

I hereby instruct the Rendita Pension Foundation 3a, taking into account the attached risk profile, to debit/credit the following order to my pension account no. \_\_\_\_\_:

### Single order

#### Buy order

Entire account balance

Units equivalent to CHF \_\_\_\_\_

#### Sell order

All units

Units equivalent to CHF \_\_\_\_\_

### Standing order

**Investment as part of future contribution payments** (without transfer from occupational benefits institutions) – valid until revoked in writing

### Credit Suisse Investment Foundations (CSA) – actively managed investment groups

Product	security no.	Allocation
Mixta-BVG Basic	1 486 149	_____ %
Mixta-BVG Defensiv	788 833	_____ %
Mixta-BVG	287 570	_____ %
Mixta-BVG Maxi	888 066	_____ %
Mixta BVG Equity 75*	38 261 482	_____ %

### Credit Suisse Investment Foundations (CSA) – indexed investment groups

Product	security no.	Allocation
Mixta-BVG Index 25	11 520 271	_____ %
Mixta-BVG Index 35	11 520 273	_____ %
Mixta-BVG Index 45	10 382 676	_____ %
Mixta-BVG Index 75*	38 261 472	_____ %

### Swisscanto – investment groups

Product	security no.	Allocation
_____	_____	_____ %

\*The equity portion is based on Art. 50.4 BVV2 and is higher than for conventional investments. Therefore this investment is only suitable for investors with the corresponding risk tolerance and risk capacity.

**I acknowledge that investing in securities may lead to price losses and that the Foundation recommends an investment in securities only for clients with a medium-term to long-term investment horizon.**

Original for the Foundation

## Conditions

### 1 Authorization

The insured hereby authorizes the Foundation to carry out all administrative procedures in connection with the order for the collective custody account and the pension account.

### 2 Investment

Investments are made only in products that the Foundation Board has approved. These investments are subject to the Ordinance on Occupational Retirement, Survivors' and Disability Pension Plans (BVV2) with regard to investment opportunities and restrictions. For products that expand the investment opportunities of the BVV2, the Foundation sets out its compliance with the regulations according to Art. 50 paras. 1–3 BVV2 in the notes to the annual financial statements. Your bank would be happy to advise you on available products offered and the investment environment.

### 3 Buy orders and sell orders

Holdings can be purchased only after the pension capital has been identified without any doubt (after the complete documentation has been received and by observing all the formal and substantive provisions of the regulations) and credited to the insured person's individual account. Once received, the order remains valid for three months. If the pension assets reach the Foundation after this period has expired, the order is no longer valid and a new form must be submitted to the Foundation for any securities purchase.

The acquired holdings will be booked to the pension custody account. The buying and selling prices of the holdings equal the issue and redemption prices as calculated by the investment foundation. The proceeds from any holdings that are sold will be credited to the pension account.

Buy and sell orders are not processed directly or around the clock but are subject, among other things, to the rules on public holidays of the office (Foundation) that manages the account or processes the order, and also to the trading days/times of the stock exchange.

When paying out pension benefits and retirement benefits, the Foundation generally issues an order to sell the holdings within five working days after the insured person's application for payment has been approved. On the death of the insured person, the order is placed as soon as the Foundation has been informed of the death by means of a civil status document. In this instance the Foundation cannot take account of the market price. The pension account cannot be closed until the assets have been sold.

By law, holdings must be sold in the following circumstances:

- When a pledge is realized;
- If there is a ruling by judge in connection with divorce, or a court ends a registered partnership.

### 4 Due diligence

The Foundation must perform all administrative duties in connection with this order to the best of its knowledge and judgment and exercise the same due diligence as if it were to perform them on its own behalf. The Foundation accepts no responsibility beyond its due diligence obligation.

### 5 Compensation of third parties

To cover its costs, the Foundation receives compensation that at maximum equals 0.2% to 0.5% of the assets from the investment groups. The insured person hereby agrees that the Foundation or the bank can retain this compensation in order to cover its administrative and advisory expenses or pass them on to cooperation partners acting on its instructions. The amount of the maximum annual compensation shall be obtained by multiplying the upper percentage of the bandwidth with the value of the insured person's investment.

### 6 Scope of validity of the order

This order is a supplement to the regulations for the pension account and enters into force on January 1, 2019.

I have read the product information and confirm that I understand the investment policy described therein or the product explained to me. The investment strategy as well as the equity and foreign currency components I selected correspond to my risk profile. I can bear the financial risk and the investment horizon matches my investment objectives. I accept full responsibility for my investment decision. I am aware of the fact that neither the Foundation nor the account-holding bank accepts any liability.

I hereby declare that I am placing this order for a securities transaction in full awareness of my requirements and risk tolerance. I acknowledge and agree to the specified terms and modalities.

Place/date

Signature of Account Holder

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Original for the Foundation

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