

## Risk profile

This form is designed to help you decide on your choice of investment products when saving with securities. You must submit it every time you purchase holdings or change your investment strategy. The bank that manages your account is responsible for supporting you in the selection of investment products.

Mr.      Ms.                                      employed                                      self-employed

Last name:

First name:

Street/No.:

Postal code, city/town, country:

Date of birth (dd/mm/yyyy):

Account number (if available):

For each question: Please check as appropriate		5 points	2 points	1 point
<b>Risk capacity:</b>	<b>Investment horizon</b>	over 10 years	5-10 years	less than 5 years
<b>Risk tolerance:</b>	<b>Which statement best describes your investment goal?</b>	I am seeking long-term asset growth and am willing to accept the corresponding risks.	I would like a mix of long-term asset growth and limited price fluctuations.	Lasting wealth preservation is very important to me. Security matters more to me than potential asset growth.
	<b>What level of price fluctuations are you willing to accept?</b>	I am willing to accept large price fluctuations if this makes it possible to earn higher returns over the long term.	I am willing to accept some price fluctuations in return for higher income opportunities.	I am willing to accept only very minor price fluctuations.
	<b>How do you react if your portfolio loses 10% of its value?</b>	I retain all my investments and will make further deposits.	I retain everything.	I sell.
	<b>What is your maximum loss tolerance in a year? <sup>1</sup></b>	more than 20%	10 to 20%	less than 10%
	<b>What level of knowledge do you have about securities?</b>	good	average	none
<b>Total points</b>	<b>total</b>	<b>total</b>	<b>total</b>	<b>total</b>

<sup>1</sup> The values serve merely as a guide. They correspond to a representative portfolio and not to your chosen investment solution. There can be no guarantee for future price developments.

Total points	5–8 points	9–13 points	14–19 points	from 20 points
Investment strategy	account solution	conservative	balanced	dynamic
Investment recommendation / Equity component	0% no securities	0–25%	25–50%	> 50%



I hereby declare that I have answered the questions truthfully and that I have understood the assigned risk profile derived from the total points as well as the corresponding investment strategy. The investment strategy I have chosen matches my risk profile.

Taking into account the level of my knowledge about securities, my risk capacity, my investment goals, and my investment horizon, I have taken the definitive investment decision that is appropriate. The Foundation and the account-holding bank have not issued me with a return promise. My attention has been expressly drawn to the fact that a positive performance in the past is no guarantee for future performance, and that losses can arise from investments in securities (due to prices, interest rates, foreign exchange and counterparty risks, etc.). I am aware that neither the Foundation nor the bank that manages the account accepts any liability for any securities losses and that I alone bear the associated risk.

Place, date:

Signature of Account Holder:

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